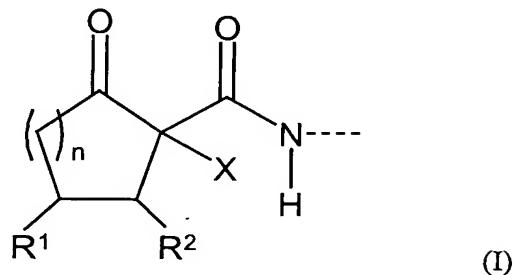


**WHAT IS CLAIMED IS:**

1. Hydrophilic polyurethane (PU) prepolymers comprising a polymer backbone with structural units of formula (I),



5 in which

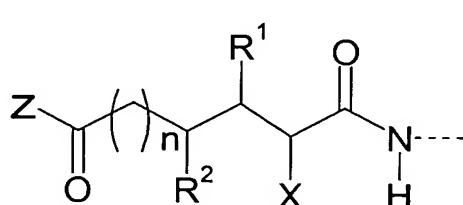
$R^1$  and  $R^2$  independently of one another represent the radicals H,  $C_1$ - $C_{20}$ -(cyclo)alkyl,  $C_6$ - $C_{24}$ -aryl,  $C_1$ - $C_{20}$ -(cyclo)alkyl ester or amide,  $C_6$ - $C_{24}$ -aryl ester or amide, mixed aliphatic/aromatic radicals having 1 to 24 carbon atoms, which may also be part of a 4- to 8-membered ring, and

10  $X$  is an electron-withdrawing group,

n is an integer from 0 to 5,

and also having structural units of polymeric polyols with a number average molecular weight range from 400 to 6000, the polymer backbone possessing ionic or potentially ionic and/or nonionically hydrophilizing groups.

15 2. Aqueous dispersions of polyurethane-polyurea polymers comprising the general structural unit (II),



in which

20  $R^1$  and  $R^2$  independently of one another represent the radicals H,

$C_1$ - $C_{20}$ -(cyclo)alkyl,  $C_6$ - $C_{24}$ -aryl,  $C_1$ - $C_{20}$ -(cyclo)alkyl ester or amide,  $C_6$ - $C_{24}$ -aryl ester or amide, mixed

aliphatic/aromatic radicals having 1 to 24 carbon atoms, which may also be part of a 4- to 8-membered ring,

5            X            is an electron-withdrawing group,

Z            represents OH, OR<sup>3</sup> or NR<sup>4</sup>R<sup>5</sup>, with

R<sup>3</sup>            is selected from a  $C_1$ - $C_{20}$ -(cyclo)alkyl radical,

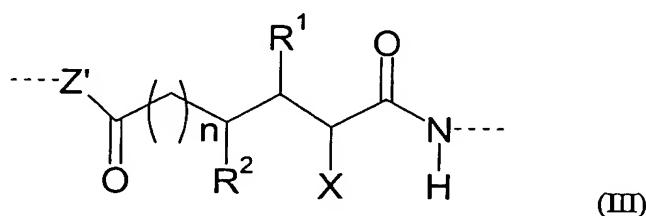
$C_2$ - $C_{18}$ -alkenyl radical,  $C_5$ - $C_8$ -cycloalkenyl radical,  $C_2$ - $C_{18}$ -alkynyl radical,  $C_6$ - $C_{24}$ -aryl radical,  $C_1$ - $C_{20}$ -(cyclo)alkyl ester and amide radical,  $C_6$ - $C_{24}$ -aryl ester and amide radical, and  $C_3$ - $C_{12}$ -heterocycloalkyl radicals, all of which can be unsubstituted or substituted by a group selected from NO<sub>2</sub>, amino, cyano, carboxyl, ester, keto and aldehyde groups,

10

15            R<sup>4</sup> and R<sup>5</sup>            are independently of one another radicals selected from the group consisting of H,  $C_1$ - $C_{20}$ -(cyclo)alkyl,  $C_2$ - $C_{18}$ -alkenyl,  $C_5$ - $C_8$ -cycloalkenyl,  $C_2$ - $C_{18}$ -alkynyl,  $C_6$ - $C_{24}$ -aryl,  $C_1$ - $C_{20}$ -(cyclo)alkyl ester and amide,  $C_6$ - $C_{24}$ -aryl ester and amide,  $C_3$ - $C_{12}$ -heterocycloalkyl radicals, all of which may be unsubstituted or substituted by a group selected from NO<sub>2</sub>, amino, cyano, carboxyl, ester, keto and aldehyde groups, and R<sup>4</sup> and R<sup>5</sup> together with the nitrogen atom may form a  $C_3$ - $C_{12}$ -cycloalkyl or a  $C_3$ - $C_{13}$ -heterocyclo-alkyl radical containing O, S or N atoms,

20

and/or the general structural unit (III),



in which

R<sup>1</sup>, R<sup>2</sup> and X have the aforementioned meaning and

Z' represents a bridging oxygen atom or bridging secondary or tertiary nitrogen atom and

n is an integer from 0 to 5.

5 3. A process for preparing the polyurethane prepolymers according to Claim 1, characterized in comprising the step of reacting

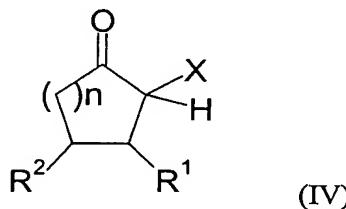
A1) at least one polyisocyanate having aliphatically, cycloaliphatically, araliphatically and/or aromatically attached isocyanate groups with

A2) polymeric polyols of the average molar weight range from 400 to 6000,

10 A3) optionally one or more polyhydric alcohols having 1 to 4 hydroxyl groups of the molecular weight range up to 400,

A4) at least one ionic and/or potentially ionic and/or nonionic hydrophilic compound having NCO reactive groups,

A5) at least one CH-acidic cyclic ketone of the general formula (IV),



in which

X is an electron-withdrawing group,

20 R<sup>1</sup> and R<sup>2</sup> independently of one another are selected from the group of radicals consisting of H, C<sub>1</sub>-C<sub>20</sub>-(cyclo)alkyl, C<sub>6</sub>-C<sub>24</sub>-aryl, C<sub>1</sub>-C<sub>20</sub>-(cyclo)alkyl ester and amide, C<sub>6</sub>-C<sub>24</sub>-aryl ester and amide, mixed aliphatic/aromatic radicals having 1 to 24 carbon atoms, which can also be part of a 4- to 8-membered ring,

n is an integer from 0 to 5, and with

A6) optionally one or more (cyclo)aliphatic monoamines or polyamines or amino alcohols having 1 to 4 amino groups of the molecular weight range up to 400, in the presence of a catalyst and optionally in the presence of isocyanate-inert organic solvents, the molar ratio of isocyanate groups to isocyanate-reactive groups being from 0.5 to 3.

5

4. The process according to Claim 3, wherein the polymeric polyols (A2) are polyester-, polyether- or polycarbonate polyols.

5. The process according to Claim 4, wherein the polyether polyols are composed of less than 30 mol% ethylene oxide units.

10 6. A process for preparing aqueous dispersions of polyurethane-polyurea polymers according to Claim 2 comprising the steps of:

(a) providing an aqueous phase,

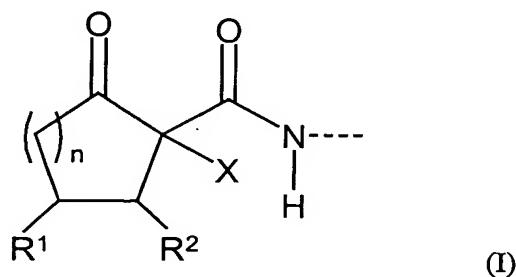
(b) providing at least one hydrophilic polyurethane prepolymer according to Claim 1,

15 (c) partly or fully neutralizing potentially ionic groups, and

(d) conducting a dispersion operation, by transferring the polyurethane prepolymers of b) to the aqueous phase, or vice versa

(e) before, simultaneously or after step (d) chain extending with aminic components (A4) and/or (A6), wherein the polyurethane prepolymers (c) comprise a polymer backbone with structural units of the formula (I)

20



in which

R<sup>1</sup> and R<sup>2</sup> independently of one another represent a radical selected from the group consisting of H, C<sub>1</sub>-C<sub>20</sub>-(cyclo)alkyl, C<sub>6</sub>-C<sub>24</sub>-aryl, C<sub>1</sub>-C<sub>20</sub>-(cyclo)alkyl ester and amide, C<sub>6</sub>-C<sub>24</sub>-aryl ester and amide, mixed aliphatic/aromatic radicals having 1 to 24 carbon atoms, which may also be part of a 4- to 5  
8-membered ring, and

X is an electron-withdrawing group,

n is an integer from 0 to 5,

and also with structural units of polymeric polyols having a number average molar weight range of from 400 to 6000.

10 7. A process for producing coating compositions comprising adding the aqueous dispersions of polyurethane-polyurea polymers according to Claim 2 alone or in combination with curing agents and/or polymers soluble, emulsifiable or dispersible in water and in dispersed form to a coating composition.

15 8. Coating compositions comprising polyurethane-polyurea dispersions according to Claim 2.

9. Substrates coated with the coating compositions comprising polyurethane-polyurea dispersions according to Claim 8.

10. A method of preparing coating materials, sizes or adhesives comprising adding the polyurethane prepolymers of Claim 1 to a composition selected from coating

20 compositions, sizing compositions and adhesive compositions.

11. A method of preparing coating materials, sizes or adhesives comprising adding the polyurethane polyurea dispersions of Claim 2 to a composition selected from coating compositions, sizing compositions and adhesive compositions.